

DECLARATION FOR PATENT APPLICATION

Docket No: FERN-P004

As a below named inventor, I hereby declare that:

My residence, postal address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original first and joint inventor (if multiple names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ADAPTIVE DIRECT TRANSACTION FOR NETWORKED CLIENT GROUP

the specification of which is attached hereto unless the following information is indicated:

_____ was filed on _____;
as United States Application Number or PCT International Application Number _____;
and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendments referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified, as so indicated below, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Claimed
_____	_____	_____	___ Yes ___ No
(Application No.)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	___ Yes ___ No
(Application No.)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

(Application No.)	(Day/Month/Year Filed)
-------------------	------------------------

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. 1.56 that became available between the filing date of the prior application and the national or PCT International filing date of this application.

_____	_____	_____
(Application No.)	(Day/Month/Year Filed)	(Status - patented, pending, abandoned)

Please send Correspondence to:


DENNIS & IRENE FERNANDEZ LLP
PATENT ATTORNEYS
2085 PORTOLA ROAD
WOODSIDE, CA 94062-2639

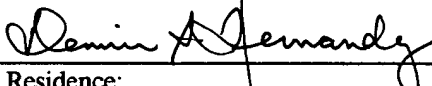
Direct telephone calls to:
(650) 529-9237

Or Email Address: iploft@iploft.com

Or FAX: (650) 529-9315

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and beliefs are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: IRENE HU FERNANDEZ	
Inventor's signature 	Date 9/1/98
Residence: 2085 Portola Road, Woodside, CA 94062	
Citizenship: United States	
Postal Address: same as Residence	

Full name of joint inventor: DENNIS SUNGA FERNANDEZ	
Inventor's signature 	Date 1 September 1998
Residence: 2085 Portola Road, Woodside, CA 94062	
Citizenship: United States	
Postal Address: same as Residence	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :

Application No.: (Not yet assigned)

Group No.: (Not yet assigned)

Filed: **1 SEPTEMBER 1998**

Examiner: (Not yet assigned)

Title: **ADAPTIVE DIRECT TRANSACTION FOR NETWORKED CLIENT GROUP**

Inventors: **FERNANDEZ et al.**

Assistant Commissioner for Patents
Washington, D.C. 20231

**SUBMISSION OF VERIFIED STATEMENT
TO ESTABLISH SMALL ENTITY STATUS**

The attached statement is being submitted to establish small entity status in this

☒ Application
☐ Patent

by the

☒ Independent inventor(s) 37 CFR 1.9(c) and 1.27(b)
☐ Non-inventor supporting claim by another 37 CFR 1.9(c) and 1.27(b)
☐ Small Business Concern 37 CFR 1.9(d) and 1.27(c)
☐ Nonprofit Organization 37 CFR 1.9(e) and 1.27(d)

Respectfully submitted,



DENNIS S. FERNANDEZ, ESQ.

Reg. No. 34,160

DENNIS & IRENE FERNANDEZ LLP

PATENT ATTORNEYS

2085 PORTOLA ROAD

WOODSIDE, CA 94062-2639

(650) 529-9237

(650) 529-9315 : FAX

EMAIL: iploft@iploft.com